

February 14, 2025

National Stock Exchange of India Limited  
Listing Department  
Exchange Plaza, C-1, Block G,  
Bandra Kurla Complex,  
Bandra (E), Mumbai - 400 051

BSE Limited  
Listing Department  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai - 400 001

Dear Sir/Madam,

**Sub:** Disclosure under Regulation 30 read with Para A of Part A of Schedule III of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

**Ref:** NSE Symbol - ISEC and BSE Scrip Code - 541179

This is to inform you that Securities and Exchange Board of India ('SEBI') has passed a settlement order No. SO/NH/YK/2024-25/7981 dated February 13, 2025 in relation to a settlement application submitted to SEBI with respect to the inspection of Margin Trading facility. The Company has received the aforesaid settlement order on February 13, 2025 at 7:41 p.m.

The inspection observations primarily related to the processes followed for the Margin Trading facility offered by the Company.

The Company has paid ₹ 80,46,000/- (Rupees Eighty Lakhs Forty-Six Thousand Only) to SEBI towards settlement amount under SEBI (Settlement Proceedings) Regulations, 2018.

There is no material impact on financial, operations or other activities of the Company pursuant to the above-mentioned order.

The relevant details pertaining to the order are provided in **Annexure**.

This is for your information and records.

Thanking you,

Yours faithfully,  
**For ICICI Securities Limited**

**Raju Nanwani**  
**Company Secretary**

Member of National Stock Exchange of India Ltd, BSE Ltd and Multi Commodity Exchange of India Ltd.

SEBI Registration: INZ000183631  
CIN No.: L67120MH1995PLC086241

**Registered Office:**  
ICICI Venture House  
Appasaheb Marathe Marg,  
Prabhadevi, Mumbai - 400025, India  
Tel. (91 22) 6807 7100  
Fax (91 22) 6807 7803

**Corporate Office :**  
Building No. 3, Plot No. Gan-2/1D, Gen-2/1E and Gen-21F,  
At MIDC Industrial Area, Mindspace Juinagar, Bonsari,  
Shiravane Turbhe MIDC, Navi Mumbai-400706  
Tel.: (91 22) 4070 1000

**Name of Compliance Officer (Broking Operations) :** Ms. Mamta Shetty  
**Email Address:** complianceofficer@icicisecurities.com / Tel. (91 22) 4070 1000  
**Website Address:** www.icicisecurities.com / www.icicidirect.com



**Annexure**

**ICICI Securities Limited**

**Name of Authority - Securities and Exchange Board of India**

**Date of Receipt of Order – February 13, 2025**

<b>Sr. No.</b>	<b>Details of the violation(s)/contravention(s) committed or alleged to be committed</b>	<b>Nature and details of the action(s) taken or order(s) passed</b>	<b>Impact on financial, operation or other activities of the Company, quantifiable in monetary terms to the extent possible</b>
			<b>Settlement amount (Rs.)</b>
1	Observations related to the Margin Trading facility offered by the Company.	The authority has accepted the settlement terms and Disposed-off the adjudication proceedings in the matter.	80,46,000/-
<b>Total Monetary Impact</b>			<b>80,46,000/-</b>